

Matematisk seminar  
Universitetet i Oslo

No 4 - 1969

NOTE ON THE GEBA-GRANAS THEORY  
OF COMPACT FIELDS

by

P. Holm and E.H. Spanier

Note on the Geba - Granas  
theory of compact fields.

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This note is concerned with an extension of the Geba-Granias theory for compact fields in a Banach space [2]. It is related to the degree theory on Banach manifolds formulated by Smale and Elworthy-Tromba in much the same way as the Geba-Granias theory is related to the Leray-Schander degree, cf. [1], [3] and [4]. Given an arbitrary Banach space  $E$  and a paracompact Hausdorff space  $X$  we consider equivalence classes of proper "singularity free" maps  $X \rightarrow E$ , equivalent maps being compact perturbations of each other. If  $X$  is a manifold certain of these classes admits a degree function.

1. Extension of the Geba - Granias theory. Let  $E$  be a Banach space and  $\{E_i\}$  a directed filtration of  $E$  by finite dimensional linear subspaces, such that any finite dimensional subspace of  $E$  is contained in some  $E_i$ . Let  $X$  be a paracompact Hausdorff space and  $\varphi: X \rightarrow E$  a proper continuous map. Finally let  $W \subset E$  be a closed subset contained in a finite dimensional subspace of  $E$ . (In the proofs we may assume without loss of generality that  $W$  is contained in all  $E_i$ .) A compact perturbation of  $\varphi: X \rightarrow E$  which maps into  $E - W$  will be called a

$\varphi$ -map, and a compact perturbation of  $\varphi \circ pr : X \times I \rightarrow E$  which maps into  $E - W$  a  $\varphi$ -homotopy. If  $h: X \times I \rightarrow E$  is a  $\varphi$ -homotopy we write  $h = \varphi + H$  instead of  $h = \varphi \circ pr + H$ . At this stage we do not assume  $\varphi$  itself to be a  $\varphi$ -map, i.e.  $\varphi$  does not necessarily map into  $E - W$ .

Remark. A map  $f: X \rightarrow E$  is a compact perturbation of  $\varphi$  if it can be written  $\varphi + K$  for some compact map  $K: X \rightarrow E$ . A map  $K: X \rightarrow E$  is compact if  $\text{im } K$  has compact closure in  $E$ .

Given a map  $f: X \rightarrow E$  we can form the closed subsets  $W_t = W_t(f) \subset W$  of elements  $w \in W$  such that  $\text{dist}(w, \text{im } f) \leq t$ ,  $t$  running through the non-negative real numbers. We say that  $f$  is W-bounded if  $W_t$  is bounded in  $E$  for all  $t \geq 0$ . This definition is introduced because it simultaneously covers the two cases we are interested in:  $f$  bounded or  $W$  bounded. For reference we write down the following easy observation

Lemma 1.1 Let  $\varphi: X \rightarrow E$  be a continuous map and  $f: X \rightarrow E$  a compact perturbation of  $\varphi$ . If  $\varphi$  is proper, respectively  $W$ -bounded, so is  $f$ .

Proof. Let  $K$  be the compact map  $f - \varphi$  and let  $C = \overline{\text{im } K}$ . Take a compact subset  $C' \subset E$ . Then the vector space difference  $C' - C$  is compact and so  $\varphi^{-1}(C' - C)$  is compact,  $\varphi$  being proper. Since  $f^{-1}C' \subset \varphi^{-1}(C' - C)$ ,  $f^{-1}C'$  is also compact and so  $f$  is proper. If  $f$  was not  $W$ -bounded, we should have a sequence of elements  $w_1, w_2, \dots$  in  $W$  with  $\|w_n\| > n$  and a sequence  $x_1, x_2, \dots$  in  $X$  such that  $\text{dist}(w_n, \varphi(x_n) + K(x_n)) \leq t$  for some  $t \geq 0$ . However, if  $c$  is the bound of  $K$ , this would imply  $\text{dist}(w_n, \varphi(x_n)) \leq t_0 + c$ , i.e.  $w_n \in W_{t+c}(\varphi)$  contrary to

the  $W$ -boundedness of  $\varphi$ .

Subsequently  $\varphi$  and  $W$  will be fixed having the properties already stated.  $\varphi$  is moreover supposed to be  $W$ -bounded. The next lemma is important, compare [ ].

Lemma 1.2 Let  $h: X \times I \rightarrow E$  be a  $\varphi$ -homotopy. Then  $h$  is bounded away from  $W$ , i.e.  $\text{dist}(W, \text{im } h) > 0$ .

Proof. If  $\text{dist}(W, \text{im } h) = 0$  there exists sequences  $\{x_n\}$ ,  $\{t_n\}$ ,  $\{w_n\}$  such that  $\|h(x_n, t_n) - w_n\| \leq 1/n$ . But  $\varphi$  is  $W$ -bounded and therefore so is  $\varphi \circ \text{pr}$ . By lemma 1  $h$  is then  $W$ -bounded. Therefore  $\{w_n\}$  sits in a bounded hence compact part of  $W$  and so may be assumed to converge to a point  $w \in W$ . Thus  $\{h(x_n, t_n)\}$  converges to  $w$ . By lemma 1  $h$  is proper, being a  $\varphi$ -homotopy, and so  $\{(x_n, t_n)\}$  may be assumed to converge to a point  $(x, t) \in X \times I$ . By continuity we should have  $h(x, t) = w$  contrary to the restriction  $\text{im } h \subset X - W$  on  $h$ .

Corollary 1.3 Let  $f: X \rightarrow E$  be a  $\varphi$ -map. Then  $f$  is bounded away from  $W$ .

Corollary 1.4 Let  $f: X \rightarrow E$  be a  $\varphi$ -map. Then  $f$  is  $\varphi$ -homotopic to  $\text{map } f' = \varphi + K'$  with  $K'$  finite dimensional, and two such maps  $f'$  are  $\varphi$ -homotopic by a homotopy  $h' = \varphi + H'$ .

Proof. Let  $f = \varphi + K$ ,  $K$  compact. By corollary 1.3 there is  $\epsilon > 0$  such that  $\text{dist}(W, \text{im } f) > \epsilon$ . Let  $K': X \rightarrow E$  be a compact finite dimensional map which  $\epsilon$ -approximates  $K$  (cf. 4). Then  $f' = \varphi + K'$  is a proper map which  $\epsilon$ -approximates  $f = \varphi + K$ ,

hence  $f'$  maps into  $X-W$  and is a  $\varphi$ -map. The same is true for  $(1-t)f + tf'$ ,  $0 \leq t \leq 1$ . Hence  $f$  is  $\varphi$ -homotopic to  $f'$ . Suppose  $f'_0, f'_1$  are  $\varphi$ -maps of this type. There is an ordinary  $\varphi$ -homotopy  $h = \varphi + H$  from  $f'_0$  to  $f'_1$ . Again let  $\epsilon > 0$  be such that  $\text{dist}(W, \text{im } h) > \epsilon$ , and let  $H''$  be a compact finite dimensional  $\epsilon$ -approximation to  $H$ . Then  $h'' = \varphi + H''$  is an  $\epsilon$ -approximation to  $h$  and so  $h''$  maps into  $X-W$  and is a  $\varphi$ -homotopy from  $f''_0$  to  $f''_1$ , say. Finally let  $E_j$  be a finite dimensional subspace of  $E$  so big that  $f'_0 - \varphi, f'_1 - \varphi$  and  $h'' - \varphi$  all map into  $E_j$ . Then the composite of the homotopies  $(1-t)f'_0 + tf''_0$ ,  $h''$  and  $(1-t)f''_1 + tf'_1$  is a  $\varphi$ -homotopy  $h' = \varphi + H'$  from  $f'_0$  to  $f'_1$  with  $H'(X \times I) \subset E_j$ .

Next we introduce a suspension operation in the sets of finite dimensional  $\varphi$ -homotopy classes. For each index  $j$  write  $X_j = \varphi^{-1}E_j$ , and let  $\varphi_j: X_j \rightarrow E_j$  be the map defined by  $\varphi$ . We assume  $W \subset E_j$  for all  $j$ . Then  $\varphi_j$  is proper and  $W$ -bounded. First we note the following lemma, compare [2], theorems (2.1), (2.2):

Lemma 1.5 Let  $f: X_j \rightarrow E_j$  be a  $\varphi_j$ -map. Then there is an extension of  $f$  to a  $\varphi$ -map  $f' = \varphi + K'$  with  $\text{im } K' \subset E_j$ .

Let  $f_0, f_1: X_j \rightarrow E_j$  be  $\varphi_j$ -homotopic  $\varphi_j$ -maps with  $\varphi$ -extensions  $f'_0, f'_1: E \rightarrow X$  which differs from  $\varphi$  by compact maps into  $E_j$ . Let  $h: X_j \times I \rightarrow E_j$  be a  $\varphi_j$ -homotopy from  $f_0$  to  $f_1$ . Then there is an extension of  $h$  to a  $\varphi$ -homotopy  $h' = \varphi + H'$  from  $f'_0$  to  $f'_1$  with  $\text{im } H' \subset E_j$ .

Proof. Let  $f = \varphi_j + K$ ,  $K: X_j \rightarrow E_j$  compact (i.e. bounded). Let  $K': X \rightarrow E_j \subset E$  be a compact extension of  $K$  (Tietze's theorem) and define  $f' = \varphi + K'$ . Then  $f'$  actually maps into

$E - W$ . In fact  $f'(x) \in W \subset E_j$  implies  $\varphi(x) \in E_j$  so that  $x \in X_j$  and  $f'(x) = f(x) \in E_j - W$ , a contradiction. It follows that  $f'$  is a  $\varphi$ -extension of  $f$ . Again, let  $h = \varphi_j + H$ ,  $H: X_j \times I \rightarrow E_j$  compact, and let  $f'_0 = \varphi + K'_0$ ,  $f'_1 = \varphi + K'_1$  with  $\text{im } K'_0 \subset E_j$ ,  $\text{im } K'_1 \subset E_j$ . Extend  $H$  to  $(X \times 0) \cup (X_j \times I) \cup (X \times 1)$  by means of  $K'_0, K'_1$  and further to some compact map  $H': X \times I \rightarrow E_j \subset E$  by Tietze's theorem. Define  $h' = \varphi + H'$ . Then as above  $h'$  maps into  $E - W$  and so is a  $\varphi$ -map.

Let  $[X, E - W]_\varphi$  denote the set of  $\varphi$ -homotopy classes of  $\varphi$ -maps and  $[X_j, E_j - W_j]_{\varphi_j}$  the corresponding set of  $\varphi_j$ -homotopy classes of  $\varphi_j$ -maps with singularity set  $W_j = W \cap E_j$ . (Then  $\varphi_j$  is  $W_j$ -bounded). Since ultimately  $W_j = W$ , we may apply lemma 5 and conclude that for "large" indices there is a canonical map

$$S_i : [X_i, E_i - W_i]_{\varphi_i} \rightarrow [X, E - W]_\varphi$$

If  $j \geq i$  by choosing  $X = X_j$ ,  $E = E_j$  and  $\varphi = \varphi_j$ , we get a canonical map

$$S_{ji} : [X_i, E_i - W_i] \rightarrow [X_j, E_j - W_j]_{\varphi_j}$$

Also by lemma 5 follows that if  $k \geq j \geq i$ , then  $S_{kj}S_{ji} = S_{ki}$  and  $S_jS_{ji} = S_i$ . Therefore the family  $\{[X_i, E_i]_{\varphi_i}, S_{ji}\}$  form a direct system, and the family of maps

$S_i : [X_i, E_i - W_i]_{\varphi_i} \rightarrow [X, E - W]_\varphi$  defines uniquely a map

$$S : \varinjlim [X_i, E_i - W_i]_{\varphi_i} \rightarrow [X, E - W]_\varphi$$

whose restriction to any  $[X_i, E_i - W_i]_{\varphi_i}$  is  $S_i$ ,  $i$  sufficiently large. The maps  $S_{ji}$  will be called suspensions. Observe now that by corollary 5 the limit map  $S$  is a bijection. Thus we have

Theorem 1.6 The set  $[X, E-W]_{\varphi}$  of  $\varphi$ -homotopy classes of  $\varphi$ -maps is canonically isomorphic to the stable  $\varphi$ -homotopy set  $\varinjlim [X_i, E_i - W_i]_{\varphi_i}$ .

This generalizes the main result in [2], theorems 2,3, which treats the case where  $X$  is a closed bounded subset of  $E$  and  $\varphi$  is the inclusion map.

If  $\varphi$  is bounded, then the filtering spaces  $X_i = \varphi^{-1}E_i$  are compact. In this case any continuous map  $X_i \rightarrow E_i - W_i \subset E_i$  is a  $\varphi_i$ -map and any continuous homotopy  $X_i \times I \rightarrow E_i - W_i \subset E_i$  is a  $\varphi_i$ -homotopy:

Corollary 1.7 If  $\varphi$  is bounded, the set  $[X, E-W]_{\varphi}$  of  $\varphi$ -homotopy classes of  $\varphi$ -maps is canonically isomorphic to the stable homotopy set  $\varinjlim [X_i, E_i - W_i]$ .

Corollary 1.8 Let  $\varphi$  be bounded and let  $W = \{o\}$ . Then the set  $[X, E-o]_{\varphi}$  is canonically isomorphic to the stable cohomotopy group  $\varinjlim \pi^{d_i}(X_i)$ ,  $d_i = \dim E_i - 1$ .

Remark. These notions are easily relativized. Thus, let  $A$  be a closed subset of  $X$  and  $\psi: X \rightarrow E$  a proper map which is  $W$ -bounded on  $A$ . Consider the  $\psi$ -maps  $(X, A) \rightarrow (E, E-W)$ , compact perturbations of  $\psi$ . (Thus  $A$  and  $\psi|_A: A \rightarrow E$  plays the role of  $X$  and  $\varphi$  above.) There is a restriction map

$$[X, A; E, E-W]_{\psi} \rightarrow [A, E-W]_{\psi|_A}$$

which is bijective since  $E$  is an absolute retract for paracompact spaces. Therefore we get directly relativized versions of theorem 6 and corollaries 7 and 8.

2. Applications to degree theory. We recall some of the basic definitions and properties connected with the degree of a Fredholm map. The reader is referred to [1] for a more complete discussion. Let  $L(E)$  be the Banach algebra of bounded linear operators on  $E$  and  $GL(E)$  the multiplicative subgroup of invertible elements. Let  $c(E)$  be the completely continuous operators and  $L_c(E)$  and  $GL_c(E)$  the subsets of  $L(E)$  and  $GL(E)$ , respectively, of operators of the form  $I + T$ ,  $T \in c(E)$ . Then  $GL_c(E)$  is a subgroup of  $GL(E)$ . It is known that  $GL_c(E)$  has two components, cf. [1]. We denote the component containing the identity  $SL_c(E)$  and the other  $SL_c^-(E)$ . Given a Banach manifold  $M$  a c-structure on  $M$  is an admissible atlas  $\{\varphi_i, U_i\}$  maximal with respect to the property: For any  $i, j$  the differential  $d(\varphi_j \varphi_i^{-1})$  at any point lies in  $GL_c(E)$ . The c-structure is orientable if it admits a subatlas for which the differentials actually lie in  $SL_c(E)$ . An orientation is a subatlas maximal with respect to this property. Observe that any finite dimensional manifold has a unique c-structure and that orientability in this case has its usual meaning. A smooth map  $f: M \rightarrow N$  between c-manifolds (i.e. manifolds with given c-structures) modelled on  $E$  is a c-map if for any local representative  $\psi_j f \varphi_i^{-1}$  of  $f$  the differential  $d(\psi_j f \varphi_i)$  at any point is in  $L_c(E)$ . This implies that  $f$  is Fredholm of index 0, i.e. the differential  $df$  is anywhere a linear Fredholm transformation with kernel and cokernel of the same dimension. Suppose  $f$  is a proper c-map between oriented manifolds  $M, N$  with  $N$  connected. Then the oriented degree of  $f$  is defined: By the Smale-Sard theorem  $f$  has a regular value  $y$  in  $N$ . Then  $f^{-1}\{y\} \subset M$  consists of a finite number of points. Count these with their proper signs;



this gives the degree,

$$\deg f = \sum_{x \in f^{-1}\{y\}} \operatorname{sgn} df_x .$$

The sign (of  $f$ ) at  $x \in f^{-1}\{y\}$  is determined as follows: Take any local representative  $\psi_j f \varphi_i^{-1}$  around  $x$ . The derivative  $d(\psi_j f \varphi_i^{-1})$  at  $\varphi_i(x)$  is then in  $GL_c(E)$  since  $x$  is a regular point. Define  $\operatorname{sgn} df_x$  to be 1 if  $d(\psi_j f \varphi_i^{-1})$  is in  $SL_c(E)$  and -1 otherwise. (The value does not depend on the choice of local representative.) This definition of degree obviously extends the finite dimensional one, cf. [4].

Suppose now that  $N = U$ , an open subset of  $E$ , with its canonical  $c$ -structure and that  $f: M \rightarrow U$  is just Fredholm of index 0. Then, by a result of Elworthy and Tromba [1], there is a unique admissible  $c$ -structure  $c_f = \{\varphi_i, U_i\}$  on  $M$  making  $f$  a  $c$ -map. We will say that  $f$  is orientable if  $c_f$  is orientable. Then, if  $f$  is proper, the degree of  $f$  is defined, and it can be shown that up to sign it is a proper Fredholm homotopy invariant.

Returning to the end of section 1 we now assume that  $(X, A)$  is a smooth relative manifold modelled on  $E$  (i.e.  $A$  is a closed subset of  $X$  and  $X - A$  is a smooth manifold modelled on  $E$ ) and that  $W$  consists of the origin  $o$  only. We also assume that  $\psi$  maps  $A$  into  $E - o$ . Then  $\psi$  is itself a  $\psi$ -map; in fact the collection of  $\psi$ -maps is an equivalence class with respect to the relation "compact perturbation of" in the set of all proper maps  $X \rightarrow E$  sending  $A$  into  $E - o$ . The relation of  $\psi$ -homotopy further stratifies this equivalence class; more precisely, the relation of "compactly homotopic to" is a still finer equivalence relation in the set of proper maps. We aim to define a "compact homotopy" invariant degree on some

of the perturbation classes, namely those which admit a smooth Fredholm representative of index 0. Thus, assume henceforth that  $\psi$  is smooth and Fredholm of index 0 on  $X-A$ .

Lemma 2.1 Let  $(X,A)$  be a relative manifold modelled on a Banach space  $E$  and  $\psi: (X,A) \rightarrow (E, E-0)$  a  $\sigma$ -proper map which is Fredholm on  $X-A$ . Let  $\{E_i\}$  be a finite collection of finite dimensional subspaces of  $E$ . Then there are points  $p \in E$  arbitrarily near the origin such that  $\psi + p$  is transverse regular to all  $E_i$  on  $\psi^{-1}W$ ,  $W$  any small neighbourhood of the origin in  $E$ .

Proof. For each  $i$  let  $E'_i$  be a complement of  $E_i$  in  $E$  such that we have split short exact sequences of Banach spaces

$$0 \rightarrow E_i \rightarrow E \xrightarrow{\text{pr}_i} E'_i \rightarrow 0$$

and canonical isomorphisms  $E_i \times E'_i \cong E$ . Let  $W_i$  and  $W'_i$  be open neighbourhoods of the origin in  $E_i$  and  $E'_i$ , respectively, such that  $W_i \times W'_i \subset E - \psi A$ . Then for any  $i$  the composite

$$\psi^{-1}W_i \times W'_i \xrightarrow{\psi} W_i \times W'_i \xrightarrow{\text{pr}_i} W'_i$$

is a  $\sigma$ -proper Fredholm map, being composed of such maps, and so its regular value set  $V'_i$  is residual in  $W'_i$  cf. [1]. It follows that the regular value set of the composite

$$\psi^{-1}W_i \times W'_i \xrightarrow{\psi} E \xrightarrow{\text{pr}_i} E'_i$$

being  $V'_i \cup (E'_i - W'_i)$  is residual in  $E'_i$ . It follows that the sets  $\text{pr}_i^{-1}(V'_i \cup (E'_i - W'_i))$  are residual in  $E$ , hence their intersection  $V$  is dense. If  $q \in V$ , then  $\text{pr}_i(q)$  is a regular

value of  $\text{pr}_i \circ \psi|_{\psi^{-1}W_i \times W_i^!}$  and so the origin is a regular value of  $\text{pr}_i \circ (\psi - q)|_{\psi^{-1}W_i \times W_i^!}$  for any  $i$ . Thus the translate  $\psi - q$  is transverse regular to all  $E_i$  on  $\cap \psi^{-1}W_i \times W_i^! = \psi^{-1} \cap W_i \times W_i^!$

Remark. Since  $\psi$  is proper,  $\psi A$  is closed and therefore bounded away from  $o$ . Hence for  $p$  sufficiently close to the origin,  $\psi_p = \psi + p$  also maps  $A$  away from  $o$  and so does  $\psi_{tp} = \psi + tp$  for  $0 \leq t \leq 1$ . It follows that for small  $p$   $\psi$  is homotopic to  $\psi + p : (X, A) \rightarrow (E, E-o)$  by a smooth compact one-dimensional homotopy  $(X, A) \times I \rightarrow (E, E-o)$ .

Assume from now on that the map  $\psi : (X, A) \rightarrow (E, E-o)$  is proper and oriented (i.e.  $\psi : X \rightarrow E$  is proper and  $\psi|_{X-A}$  oriented). Then the degree of  $\psi$  with respect to the origin is well defined: Let  $U$  be the (open) connected component of  $E - \psi A$  containing the origin  $o$ , and let  $V = \psi^{-1}U$ . Then  $V$  is an open subset of  $X$  contained in  $X - A$ , and  $\psi : V \rightarrow U$  is proper oriented Fredholm map of index 0. Hence its degree is defined. By definition this is the degree of  $\psi$  with respect to  $o$ , denoted  $\deg(\psi, A, o)$ . It is invariant under smooth compact homotopies  $(X, A) \times I \rightarrow (E, E-o)$ . Observe that if  $B \subset E$  is a small open ball around the origin (an open neighbourhood in  $E - \psi A$  would suffice) and  $A' = X - \psi^{-1}B$ , then  $\deg(\psi, A, o) = \deg(\psi, A', o)$ . Thus for degree purposes we may suppose that  $X - A = \psi^{-1}B$ , which makes  $\psi : X - A \rightarrow B$  proper and  $A = \psi^{-1}\psi A$ . In the sequel we make repeatedly use of this.

One can show that if  $\psi$  is transverse regular (on  $X - A$ ) to a finite dimensional subspace  $E^n \subset E$ , then  $(\psi^{-1}E^n, \psi^{-1}E^n \cap A)$  is orientable, and that a specific orientation of  $c_f$  induces

a specific orientation of  $(\psi^{-1}E^n, \psi^{-1}E^n \cap A)$ , cf. for instance [5]. Write  $(X^n, A^n)$  for  $(\psi^{-1}E^n, \psi^{-1}E^n \cap A)$  and  $\psi^n: (X^n, A^n) \rightarrow (E^n, E^n - o)$  for the map induced by  $\psi$ . Let  $y \in E^n$  be a regular value for  $\psi^n$  close to the origin. Then  $y$  is a regular value for  $\psi$  and  $\psi^{-1}\{y\} = (\psi^n)^{-1}\{y\}$ . Since  $(X^n, A^n)$  inherits its orientation from  $(X, A)$ ,  $\text{sgn } d\psi_x^n = \text{sgn } d\psi_x$  for all  $x \in \psi^{-1}\{y\}$ . Thus  $\deg(\psi, A, o) = \deg(\psi^n, A^n, o)$ . However,  $\deg(\psi^n, A^n, o)$  can be computed by well known homological methods: If  $B \subset E$  is an open ball with  $\psi^{-1}B = X - A$ , let  $\gamma \in H_C^n(E^n, E^n - B)$  be a generator (Čech cohomology with compact supports, coefficients  $\mathbb{Z}$ ). Then  $\deg(\psi^n, A^n, o)$  is up to sign the value on  $\gamma^n$  of the composite homomorphism

$$H_C^n(E^n, E^n - B) \xrightarrow{\psi^{n*}} H_C^n(X^n, A^n) \cong H_0(X^n - A^n) \xrightarrow{\epsilon} \mathbb{Z}$$

In particular we can choose  $\gamma^n$  such that the homological degree comes out with the right sign. If  $E^m \subset E^n$  are finite dimensional subspaces of  $E$  to which  $\psi$  is transverse regular, we get a diagram

$$\begin{array}{ccccccc} H_C^n(E^n, E^n - B) & \xrightarrow{\psi^{n*}} & H_C^n(X^n, A^n) & \cong & H_0(X^n - A^n) & \xrightarrow{\epsilon} & \mathbb{Z} \\ \cong \uparrow & & \uparrow & & \uparrow & & \parallel \\ H_C^m(E^m, E^m - B) & \xrightarrow{\psi^{m*}} & H_C^m(X^m, A^m) & \cong & H_0(X^m - A^m) & \xrightarrow{\epsilon} & \mathbb{Z} \end{array}$$

where  $H_C^m(E^m, E^m - B) \rightarrow H_C^n(E^n, E^n - B)$  is the suspension or the Thom isomorphism of the normal bundle of  $E^m$  in  $E^n$  sending  $\gamma^m$  to  $\gamma^n$ , and  $H_C^m(X^m, A^m) \rightarrow H_C^n(X^n, A^n)$  is the induced Thom map. The latter as well as the duality isomorphisms are best interpreted by using the excisions  $H_C^*(X^i, A^i) \cong H_C^*(X^i - A^i)$ .

Let  $g: (X, A) \rightarrow (E, E - o)$  be a compact finite dimensional (but not necessarily smooth) perturbation of  $\psi$ , say  $g = \psi + K'$ .

Let  $E^m \subset E$  be any finite dimensional subspace of  $E$  containing the image of  $K'$ . Translate  $\psi$  slightly to make it transverse regular to  $E^m$ . This gives us a translate  $g_p = \psi_p + K'$  and a homotopy  $g_{tp} = \psi_{tp} + K'$  (cf. remark to lemma 2.1). Since  $\text{im } K' \subset E^n$ , we have  $(g_p^{-1} E^m, A \cap g_p^{-1} E^m) = (\psi_p^{-1} E^m, A \cap \psi_p^{-1} E^m)$ . With an extension of our earlier notation we write this pair  $(X_p^m, A_p^m)$  so that we have the maps

$$H_c^m(E^m, E^m - B) \xrightarrow{g_p^{m*}} H_c^m(X_p^m, A_p^m) \stackrel{D}{\cong} H_o(X_p^m - A_p^m) \xrightarrow{\epsilon} \mathbb{Z}$$

Lemma 2.2 If  $E^m, E^n$  both contain  $\text{im } K'$  and  $g_p, g_q$  are small translations transverse regular to  $E^m, E^n$  respectively, then

$$\epsilon Dg_p^{m*}(\gamma^m) = \epsilon Dg_q^{n*}(\gamma^n)$$

Proof. First assume  $E^n = E^m$ . There is a compact finite dimensional homotopy  $G : (X, A) \times I \rightarrow (E, E - o)$  from  $\psi_p$  to  $\psi_q$  which is a Fredholm map of index 1. Let  $I \rightarrow I$  be a smooth map, strictly positive on  $(0, 1)$ , and such that it and all its derivatives tend to 0 at the boundary  $\{0, 1\}$ . Set  $\epsilon : X \times I \xrightarrow{\text{pr}} I \rightarrow I$ . Then  $G' = \frac{1}{\epsilon} G$  is Fredholm of index 1 on  $X \times (0, 1)$ . By Lemma 2.1 there is a point  $r \in E$  close to the origin such that  $\frac{1}{\epsilon} G + r$  is transverse regular to  $E^m$  on  $(X - A) \times (0, 1)$ . It follows that  $G + \epsilon \cdot r$  is transverse regular to  $E^m$  on  $(X - A) \times I$  and homotopic to  $G$  by the smooth compact finite dimensional homotopy  $G + \tau \cdot \epsilon \cdot r$ ,  $0 \leq \tau \leq 1$ . Pulling back  $E^m$  by  $G_{\epsilon \cdot r} = G + \epsilon \cdot r$  gives a relative manifold  $(Y^{m+1}, C^{m+1})$  and a commutative diagram

$$\begin{array}{ccc}
 H_C^m(E^m, E^m - B) & \xrightarrow{G^{m*}} H_C^m(Y^{m+1}, C^{m+1}) & \stackrel{D}{\cong} H_1(Y^{m+1} - C^{m+1}, (X_p^m - A_p^m) \cup (X_q^m - A_q^m)) \\
 \searrow (g_p^m + g_q^m)^* & \downarrow & \downarrow \\
 & H_C^m((X_p^m, A_p^m) + (X_q^m, A_q^m)) & \stackrel{D}{\cong} H_0((X_p^m, A_p^m) + (X_q^m, A_q^m)) \\
 \searrow g_p^{m*} & \downarrow & \downarrow \\
 & H_C^m(X_p^m, A_p^m) & \cong H_0(X_p^m, A_p^m)
 \end{array}$$

The claim now follows from the diagram.

Suppose next that  $E^n \neq E^m$ . In that case we may as well suppose  $E^m \subset E^n$ . If  $g_q$  is not transverse regular also to  $E_m$ , replace  $q$  with an element also denoted  $q$  for which this is true. According to the first part of the proof this does not change the value of  $\epsilon Dg_q^{n*}(\gamma^n)$ . The conclusion now follows.

This completes the proof of lemma 2.2.

We can now define the degree of  $g$  with respect to the origin by

$$\deg(g, A, ) = \deg(g_p^m, A_p^m, o)$$

By lemma 2.2 the degree is well defined. It is easy to see that this does not depend on the particular choice of smooth representative  $\psi$  in the perturbation class. The degree function so defined is an extension of the ordinary one for smooth Fredholm maps of index 0 and of the Leray-Schauder degree (of not necessarily smooth maps), and satisfies conditions analogous to the Leray-Schauder degree, cf. [4] p. 86.

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Matematisk Institutt  
Universitetet i Oslo  
Blindern, Oslo 3,  
Norway

and

Department of Mathematics  
University of California  
Berkeley, Cal. 94720,  
U.S.A.